



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/932,834

09/18/97

PORUBEK

D

077319/0129

FOLEY & LARDNER  
SUITE 500  
3000 K STREET NW  
WASHINGTON DC 20007-5109

0262/1202

NOT ASSIGNED

DATE MAILED: 1202

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

12/02/97

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 65 for a ☐ large entity ☒ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

**If all required items on this form are filed within the period set above, the total amount owed by applicant as a**  
☐ large entity ☒ small entity (verified statement filed), is \$ 460.

- ☒ 1. The statutory basic filing fee is:  
☒ missing.  
☐ insufficient.

Applicant must submit \$ 396 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☐ is missing.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are:  
☐ missing.

☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.28(b)).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application does not comply with the Sequence Rules.  
See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.  
☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

1/1998 NVILLARI 00000017 08932834  
:201 395.00  
:203 66.00  
:205 65.00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 077319/0129

In re Patent Application of

David PORUBEK et al.

Serial No. 08/932,834

ATTN: Application Division

Filed: September 18, 1997

For: COMPOUNDS HAVING SELECTIVE HYDROLYTIC POTENTIALS

TRANSMITTAL LETTER

Box Missing Part  
Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Responsive to the Notice to File Missing Parts of Application under 37 CFR 1.53(d) mailed December 2, 1997, attached hereto are the following to complete the formal filing requirements in the above-identified application:

- x Check in the amount of \$526.00 in payment of the \$395.00 official Government filing fee, the \$65.00 surcharge, \$66.00 for extra claim fees over 20.
- x Copy of Preliminary Amendment and Request for Interview filed September 18, 1997.
- x Second Preliminary Amendment.
- x A copy of the Notice to File Missing Parts of Application (Form PTO-1533) mailed .

**REMARKS REGARDING PRELIMINARY AMENDMENTS**

After reviewing the Official Filing Receipt for this application, it appears that the Preliminary Amendment filed

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on September 18, 1997 may not have been considered. Specifically, the Filing Receipt indicates 20 pending claims, yet considering Applicants' Preliminary Amendment, this number should be 21. Applicants, therefore, submit a courtesy copy of the September 18th Preliminary Amendment, and a Second Preliminary Amendment for consideration. The appropriate fee for additional claims is included as indicated above.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 19-0741.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "S. A. Bent", written over a horizontal line.

Stephen A. Bent  
Reg. No. 29,768

February 2, 1998

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